

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Tatsuro UCHIDA) Examiner: Kaveh C. Kianni
Application No.: 10/541,188) Group Art Unit: 2883
Filed: June 30, 2005) Confirmation No.: 4934
For: OPTICAL ELEMENT DEVICE AND)
TWO-DIMENSIONAL OPTICAL) February 13, 2007
WAVEGUIDE DEVICE AND)
OPTOELECTRONIC CIRCUIT)
BOARD USING THE SAME)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents, other than U.S. patent documents, are also enclosed.

REMARKS

The following documents were cited in a Japanese Official Action of a foreign counterpart application corresponding to the above-referenced application:

- (1) EP 1 286 194 A;
- (2) JP-A 2000-183390;
- (3) JP-A 2002-353552;

(4) JP-A H09-260764; and

(5) JP-A H08-209364.

English-language Abstracts for the JP-A documents were obtained from a commercial database and are also enclosed.

Applicants submit that JP-A 2003-057468 and JP-A 2003-227951 correspond to Document (1). Document (1) was cited in the September 30, 2005 and May 16, 2006 Information Disclosure Statements. Applicant submits that in the Japanese Official Action, the Japanese Patent Office Examiner states that the above documents (3) and (4) disclose changing the angle of emission of a light emitting element, while the above document (5) discloses forming a reflection surface by using reactive ion beam etching.

FORMAL MATTERS

Please charge \$180.00 to cover the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p) to Deposit Account No. 06-1205. Any deficiency should be charged and any overpayment credited to that account.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

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FORM PTO 1449 (*modified*)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)ATTY DOCKET NO.
03500.103081APPLICATION NO.
10/541,188

APPLICANT

Tatsuro UCHIDA

Date Submitted To USPTO: February 13, 2007

FILING DATE

June 30, 2005

GROUP

2883

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,786,925	07/28/1998	Goossen, et al.	359	245	
	2005/0100298 A1	05/12/2005	Okubora, et al.	385	129	
	2004/0047539 A1	03/11/2004	Okubora, et al.	385	14	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	2003-057468	02/26/2003	Japan			Abstract
	2003-227951	08/15/2003	Japan			Abstract
	2000-183390	06/30/2000	Japan			Abstract
	2002-353552	12/06/2002	Japan			Abstract
	9-260764	10/03/1997	Japan			Abstract
	8-209364	08/13/1996	Japan			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1